

Confinement to sub-partial fields

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Based on joint work with Rudi Pendavingh



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July 17, 2008

Where innovation starts

Minors of matrices

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Let A be an $X \times Y$ matrix. A *minor* of A is a matrix obtained by

- Scaling rows and columns;
- Deleting rows and columns (notation: $A - x$);
- Pivoting on a nonzero entry:

$$A = \begin{array}{c} x \\ x' \end{array} \begin{array}{cc} y & y' \\ \left[\begin{array}{c|c} a & b \\ \hline c & D \end{array} \right] \end{array} \rightarrow \begin{array}{c} y \\ x' \end{array} \begin{array}{cc} x & y' \\ \left[\begin{array}{c|c} a^{-1} & a^{-1}b \\ \hline -a^{-1}c & D - a^{-1}cb \end{array} \right] \end{array} = A^{xy}$$

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Correspond with minors of matroid $M([I|A])$.

$$\begin{matrix} & \begin{matrix} X & Y \end{matrix} \\ \left[\begin{array}{c|c} 1 & 0 \\ \dots & A \\ 0 & 1 \end{array} \right] & \leftrightarrow & \begin{matrix} Y \\ X \left[\begin{array}{c} A \end{array} \right] \end{matrix} \end{matrix}$$

- A partial field \mathbb{P} is a pair (\mathbb{O}, \mathbf{G}) of a ring and a group, such that

$$-1 \in \mathbf{G} \subseteq \mathbb{O}^*.$$

Elements of \mathbb{P} are elements of $\mathbf{G} \cup \{0\}$.

- A matrix A over \mathbb{O} is a \mathbb{P} -matrix if $\det(B) \in \mathbb{P}$ for all square submatrices of A .

Let $\mathbb{P}_1 := (\mathbb{O}_1, \mathbf{G}_1)$ and $\mathbb{P}_2 := (\mathbb{O}_2, \mathbf{G}_2)$.

- $\varphi : \mathbb{P}_1 \rightarrow \mathbb{P}_2$ is *homomorphism* if
 - $\varphi(1) = 1$
 - $\varphi(pq) = \varphi(p)\varphi(q)$
 - If $p + q \in \mathbb{P}$ then $\varphi(p) + \varphi(q) = \varphi(p + q)$

Example: ring homomorphism with $\varphi(\mathbf{G}_1) \subseteq \mathbf{G}_2$.

- $M(A) = M(\varphi(A))$

- If $\mathbb{P}_1 = (\mathbb{O}_1, \mathbf{G}_1)$ and $\mathbb{P}_2 = (\mathbb{O}_2, \mathbf{G}_2)$ then

$$\mathbb{P}_1 \otimes \mathbb{P}_2 := (\mathbb{O}_1 \times \mathbb{O}_2, \mathbf{G}_1 \times \mathbf{G}_2).$$

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$$\begin{pmatrix} 1 & 1 \\ 1 & p_1 \end{pmatrix} \otimes \begin{pmatrix} 1 & 1 \\ 1 & p_2 \end{pmatrix} = \begin{pmatrix} (1, 1) & (1, 1) \\ (1, 1) & (p_1, p_2) \end{pmatrix}$$

Theorem 1 (Tutte 1965). *Let M be a matroid. The following are equivalent:*

- *M is representable over $\text{GF}(2)$ and $\text{GF}(3)$*
- *M is representable over \mathbb{R} by a totally unimodular matrix*
- *M is representable over every field*

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- M is representable over every field

Proof. Consider partial field $\text{GF}(2) \otimes \text{GF}(3)$. Elements are $(0, 0), (1, 1), (1, -1)$. Find bijective homomorphism

$$\varphi : \text{GF}(2) \otimes \text{GF}(3) \rightarrow (\mathbb{Z}, \{-1, 1\}).$$



Theorem 1' (Tutte 1965). *Let M be a matroid. The following are equivalent:*

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Proof. Consider partial field $\text{GF}(2) \otimes \mathbb{F}$. Elements are $(0, 0)$ and $(1, x)$ where $x \in \mathbb{F}^*$. Then ... \square

Problem: $\text{GF}(2) \otimes \mathbb{F}$ is too big!

Definition 2. *Fundamental elements of \mathbb{P}*

$$\mathcal{F}(\mathbb{P}) := \{p \in \mathbb{P} \mid 1 - p \in \mathbb{P}\}.$$

Theorem 3. *M is \mathbb{P} -representable $\Leftrightarrow M$ is $\mathbb{P}[\mathcal{F}(\mathbb{P})]$ -representable.*

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$$\mathcal{F}(P) = \{(0, 0), (1, 1)\} \cup \{(1, x) \mid (1, 1) - (1, x) \in P\}$$

$$P[\mathcal{F}(P)] = \{(0, 0), (1, 1), (1, -1)\}. \text{ hom. } \square$$

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 - B is isomorphic to $A' - U$, with $|U \cap X| \leq 1$, $|U \cap Y| \leq 1$;
 - If B is isomorphic to $A' - \{x, y\}$ then at least one of $A' - x$, $A' - y$ is 3-connected.

Stabilizer Theorem

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- N is isomorphic to M'/x , $M' \setminus y$, or $M'/x \setminus y$;
- $N \cong M'/x \setminus y \Rightarrow M'/x$ or $M' \setminus y$ is 3-connected.

Pf: Def. $\mathbb{P}_0 := \mathbb{P} \otimes \mathbb{P}$, $\mathbb{P}_0' := \{(\alpha, x) \mid x \in \mathbb{P}\}$.
Apply conf. thm. to each \mathbb{P}_0' -rep.
of N . □

Theorem 6. *Let M be a 3-connected matroid with at least k inequivalent representations over $\text{GF}(5)$.*

(i) $k \geq 2 \Rightarrow M$ representable over \mathbb{C} , $\text{GF}(p^2)$ for all primes $p \geq 3$, $\text{GF}(p)$ when $p \equiv 1 \pmod{4}$.

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Ingredients of proof:

- Universal partial fields (for binary, ternary cases);
- Lift Theorem;
- Confinement Theorem.

$\tilde{F} := \{\tilde{p} \mid p \in \mathcal{F}(\mathbb{P})\}$ set of symbols, I ideal in $\mathbb{Z}[\tilde{F}]$ generated by

(i) $\tilde{0} - 0; \tilde{1} - 1;$

(ii) $\widetilde{-1} + 1$ if $-1 \in \mathcal{F}(\mathbb{P});$

(iii) $\tilde{p} + \tilde{q} - 1$, where $p, q \in \mathcal{F}(\mathbb{P}), p + q = 1;$

(iv) $\tilde{p}\tilde{q} - 1$, where $p, q \in \mathcal{F}(\mathbb{P}), pq = 1;$

(v) $\tilde{p}\tilde{q}\tilde{r} - 1$, where $p, q, r \in \text{Cr}(A), pqr = 1$, and

$$\begin{bmatrix} 1 & 1 & 1 \\ 1 & p & q^{-1} \end{bmatrix} \preceq A \in \mathcal{A}.$$

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Theorem 7. For $A \in \mathcal{A}$, $M([I|A])$ is representable over $\mathbb{L}_{\mathcal{A}}\mathbb{P} = (\mathbb{Z}[\tilde{F}]/I, \langle \tilde{F} \rangle)$.

Quinary matroids (2)

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Note: \mathcal{A} is essential.

$$\begin{bmatrix} (1, 1) & (1, 1) & (1, 1) \\ (1, 1) & (2, 2) & (3, 3) \end{bmatrix}$$

Stabilizer Theorem implies these are not minors of $A \in \mathcal{A}$.

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$$\mathcal{F}(\mathbb{H}_2) = \left\{ 0, 1, -1, 2, \frac{1}{2}, i, i + 1, \frac{i+1}{2}, 1 - i, \frac{1-i}{2}, -i \right\}.$$

- Result follows by considering homomorphisms.

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- $\mathbb{D} := (\mathbb{Q}, \langle -1, \frac{1}{2} \rangle)$ is induced sub-partial field. \mathbb{D} -confiners:

$$\begin{bmatrix} 1 & 1 \\ 1 & 2 \end{bmatrix}, \begin{bmatrix} 1 & 1 \\ 1 & 1/2 \end{bmatrix}, \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$$

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- Consequence: matrices in \mathcal{A} representable over

$$\mathbb{H}_3 := (\mathbb{Q}(\alpha), \langle \alpha, \alpha - 1, \alpha^2 - \alpha + 1 \rangle).$$

- Homomorphism to every field with an x that is no root of $\alpha, \alpha - 1, \alpha^2 - \alpha + 1$.

(iii) $k \geq 4 \Rightarrow M$ is not binary and not ternary.

(iv) $k \geq 5 \Rightarrow k = 6$.

$$\mathbb{H}_4 := (\mathbb{Q}(\alpha, \beta), \langle \alpha, \beta, \alpha - 1, \beta - 1, \alpha\beta - 1, \alpha + \beta - 2\alpha\beta \rangle).$$

$$\mathbb{H}_5 := (\mathbb{Q}(\alpha, \beta, \gamma), \langle \alpha, \beta, \gamma, \alpha - 1, \beta - 1, \gamma - 1, \alpha - \gamma, \gamma - \alpha\beta, (1 - \gamma) - (1 - \alpha)\beta \rangle).$$

Main observation: *six* homomorphisms $\mathbb{H}_5 \rightarrow \text{GF}(5)$.

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Theorem 8 (Whittle 1997). *M 3-connected matroid representable over $\text{GF}(3)$ and over \mathbb{F} not of characteristic 3. Then at least one of these is true:*

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(iv) $\tilde{p}\tilde{q} - 1$, where $p, q \in \mathcal{F}(\mathbb{P}), pq = 1;$

(v) $\tilde{p}\tilde{q}\tilde{r} - 1$, where $p, q, r \in \text{Cr}(A), pqr = 1$, and

$$\begin{bmatrix} 1 & 1 & 1 \\ 1 & p & q^{-1} \end{bmatrix} \preceq A.$$

Theorem 9. $M([I|A])$ is representable over $\mathbb{L}_A \mathbb{P} = (\mathbb{Z}[\tilde{F}]/I, \langle \tilde{F} \rangle).$

(iii) $\tilde{p} + \tilde{q} = 1$, where $p, q \in \mathcal{F}(\mathbb{P})$, $p + q = 1$;

(iv) $\tilde{p}\tilde{q} = 1$, where $p, q \in \mathcal{F}(\mathbb{P})$, $pq = 1$;

Claim 10.

$$\tilde{F} = \{0, 1\} \cup \left\{ \alpha, 1 - \alpha, \frac{1}{1 - \alpha}, \frac{\alpha}{\alpha - 1}, \frac{\alpha - 1}{\alpha}, \frac{1}{\alpha} \right\} \cup \left\{ \beta, 1 - \beta, \frac{1}{1 - \beta}, \frac{\beta}{\beta - 1}, \frac{\beta - 1}{\beta}, \frac{1}{\beta} \right\} \cup \dots$$

(iii) $\tilde{p} + \tilde{q} = 1$, where $p, q \in \mathcal{F}(\mathbb{P})$, $p + q = 1$;

(iv) $\tilde{p}\tilde{q} = 1$, where $p, q \in \mathcal{F}(\mathbb{P})$, $pq = 1$;

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Need to show:

- Relations *within* a set yield dyadic or $\sqrt[6]{1}$
- Only one such set needed

Question 11. *Can we find the forbidden minors for $\text{GF}(5)$?*

Conjecture 12. *If N is 3-connected, with universal partial field \mathbb{P}_N , then N stabilizes the \mathbb{P}_N -representable matroids.*

Question 13. *Can we classify the universal partial fields of other classes of matroids?*

First candidate: *golden ratio* matroids, i.e. those representable over $\text{GF}(4) \otimes \text{GF}(5)$.

Thank you for your attention!



- Lifts: [arXiv:0804.3263](https://arxiv.org/abs/0804.3263)
- Confinement: [arXiv:0806.4487](https://arxiv.org/abs/0806.4487)