

# Enumeration of 2-Polymatroids on up to Seven Elements

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# Definition of a $k$ -polymatroid

## Definition

Let  $S$  be a finite set. Suppose  $\rho: 2^S \rightarrow \mathbb{N}$  satisfies the following four conditions:

- (i) if  $X, Y \subseteq S$ , then  $\rho(X \cap Y) + \rho(X \cup Y) \leq \rho(X) + \rho(Y)$  (**submodular**);
- (ii) if  $X \subseteq Y \subseteq S$ , then  $\rho(X) \leq \rho(Y)$  (**monotone**);
- (iii)  $\rho(\emptyset) = 0$  (**normalized**); and
- (iv)  $\rho(\{x\}) \leq k$  for all  $x \in S$ .

Then  $(\rho, S)$  is a  **$k$ -polymatroid** with **rank function**  $\rho$  and **ground set**  $S$ .

A matroid is a 1-polymatroid.

## Representing $k$ -polymatroids with Matroids

Theorem (Helgason 1974, McDiarmid 1975, Lovász 1977)

Let  $(\rho, S)$  be a  $k$ -polymatroid. Then there exists a matroid  $(r, E)$  and a map  $\sigma: S \rightarrow 2^E$  such that  $\rho(X) = r(\bigcup_{x \in X} \sigma(x))$  for all  $X \subseteq S$ .

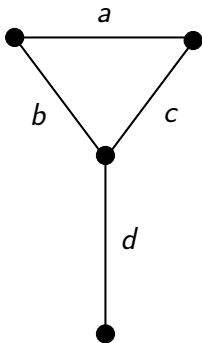
## Examples of 2-polymatroids

Let  $(r_1, E)$  and  $(r_2, E)$  be matroids. Then  $(r_1 + r_2, E)$  is a 2-polymatroid.

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Let  $G = (V, E)$  be a graph. For  $X \subseteq E$ , define  $\rho(X)$  as the number of vertices incident to some edge in  $X$ . Then  $(\rho, E)$  is a 2-polymatroid. For example:



$$\rho(a) = 2$$

$$\rho(abc) = 3$$

$$\rho(ad) = 4$$

# Flats

A **flat** of a  $k$ -polymatroid  $(\rho, S)$  is a set  $F \subseteq S$  with the property that  $\rho(F \cup e) > \rho(F)$  for each  $e \in S - F$ .

Knowledge of the flats and their ranks of a  $k$ -polymatroid suffices to determine the entire  $k$ -polymatroid.

As for matroids, the intersection of flats is itself a flat.

# Single-Element Extensions of $k$ -Polymatroids

## Definition

Let  $(\rho, S)$  be a polymatroid, and let  $e$  be an element not in  $S$ . If  $(\bar{\rho}, S \cup e)$  is a polymatroid with  $\bar{\rho}(X) = \rho(X)$  for all  $X \subseteq S$ , then  $\bar{\rho}$  is a **single-element extension** of  $\rho$ .

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Let  $c = \bar{\rho}(e)$ . Partition the flats of  $(\rho, S)$  into classes

$$\mathcal{M}_0, \mathcal{M}_1, \dots, \mathcal{M}_c$$

by the rule  $F \in \mathcal{M}_i$  if and only if  $\bar{\rho}(F \cup e) = \rho(F) + i$ .

(Note that some  $\mathcal{M}_i$  may be empty.)



# Modular Cuts

## Definition

A **modular cut** of a polymatroid  $(\rho, S)$  is a subset  $\mathcal{M}$  of  $\mathcal{F}(\rho, S)$  which is closed under:

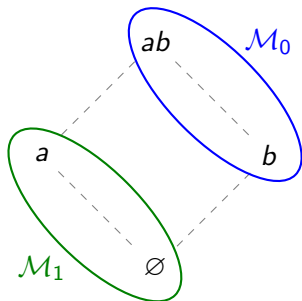
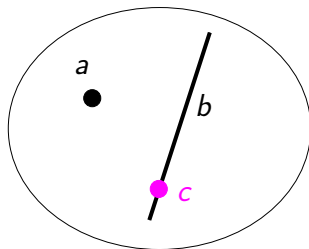
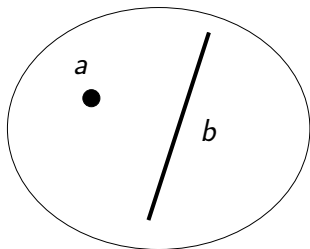
- (i) supersets and
- (ii) intersections of modular pairs.

## Theorem (Crapo 1964)

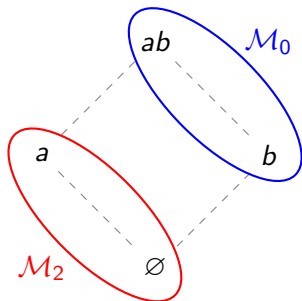
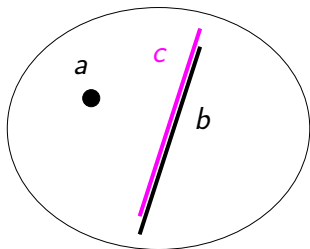
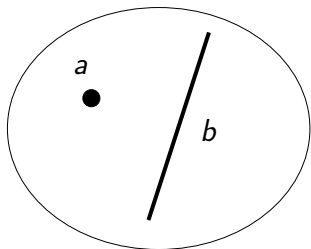
*The single-element extensions of a **matroid** are in one-to-one correspondence with its modular cuts.*

The key point is that  $\mathcal{M}_0$  is a modular cut.

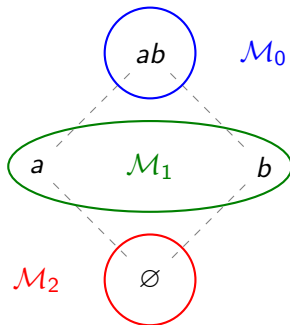
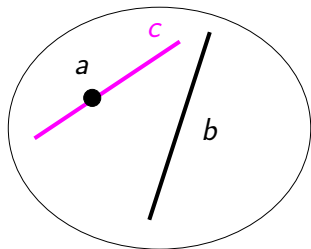
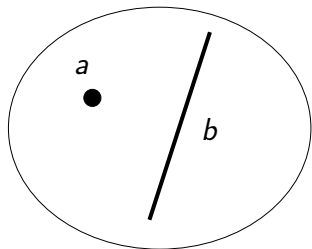
# Example 1



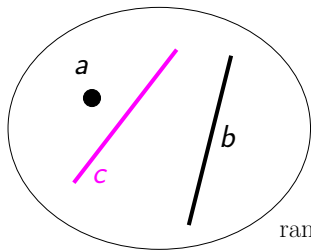
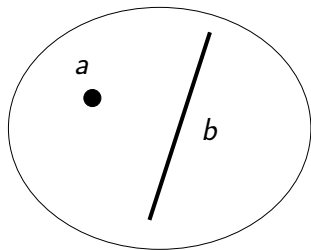
## Example 2



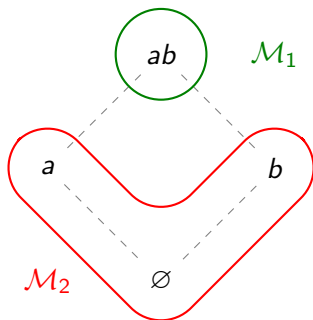
# Example 3



## Example 4



rank 4



## Extensible Partitions

Which partitions  $\mathcal{M}_0, \mathcal{M}_1, \dots, \mathcal{M}_c$  give rise to single-element extensions of the  $k$ -polymatroid  $(\rho, S)$ ?

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Define  $\bar{\rho}: 2^{S \cup e} \rightarrow \mathbb{N}$  as follows.

- ▶ For  $X \subseteq S$ , set  $\bar{\rho}(X) = \rho(X)$ .
- ▶ If  $\text{cl}(X) \in \mathcal{M}_i$ , then set  $\bar{\rho}(X \cup e) = \rho(X) + i$ .

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Recall the definition of **modular defect**:

$$\delta(X, Y) = \rho(X) + \rho(Y) - \rho(X \cup Y) - \rho(X \cap Y).$$

Define also

$$\mu(X) = i \quad \text{if and only if} \quad \text{cl}(X) \in \mathcal{M}_i.$$



# A Characterization of Extensible Partitions

## Theorem (Savitsky 2014)

*As defined above,  $(\bar{\rho}, S \cup e)$  is a polymatroid, and hence a single-element extension of  $(\rho, S)$ , if and only if the following three conditions hold for all flats  $F, G$  of  $(\rho, S)$ :*

- (I)  $\mu(F \cap G) + \mu(F \cup G) - \delta(F, G) \leq \mu(F) + \mu(G)$ ;
- (II) if  $F \subseteq G$ , then  $\rho(F) + \mu(F) \leq \rho(G) + \mu(G)$ ; and
- (III) if  $F \subseteq G$ , then  $\mu(G) \leq \mu(F)$ .

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The flats of a single-element extension of a  $k$ -polymatroid may be described in terms of the flats of the original  $k$ -polymatroid.

# A Brief History of Matroid Enumeration

Can we construct a catalog of all non-isomorphic  $k$ -polymatroids on the ground set  $\{1, \dots, n\}$ ? Presumably  $n$  and  $k$  must be small.

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In 2007, Mayhew and Royle created a database of all matroids on at most 9 elements.

In 2012, Matsumoto, Moriyama, Imai, and Bremner constructed all 10-element matroids with rank **not** equal to 5.

# The Number of Small Matroids by Rank

This table lists the number of non-isomorphic matroids on the ground set  $\{1, \dots, n\}$  by rank.

rank \ $n$	1	2	3	4	5	6	7	8	9	10
0	1	1	1	1	1	1	1	1	1	1
1	1	2	3	4	5	6	7	8	9	10
2		1	3	7	13	23	37	58	87	128
3			1	4	13	38	108	325	1275	10037
4				1	5	23	108	940	190,214	4,886,380,924
5					1	6	37	325	190,214	$> 2.6 \times 10^{12}$
6						1	7	58	1275	4,886,380,924
7							1	8	87	10037
8								1	9	128
9									1	10
10										1
total	2	4	8	17	38	98	306	1724	383,172	$> 2.6 \times 10^{12}$

## A Catalog of Small 2-polymatroids

Having developed an analogue of the theory of single-element extensions of matroids, I managed to adapt Mayhew and Royle's enumeration approach to 2-polymatroids.

Using a desktop computer, I created a catalog of all non-isomorphic 2-polymatroids on at most 7 elements. This computation took about 4 days.

The results are summarized on the next slide, which lists the number of non-isomorphic 2-polymatroids on the ground set  $\{1, \dots, n\}$  by rank.



# The Number of (Unlabeled) Small 2-polymatroids by Rank

rank \ $n$	0	1	2	3	4	5	6
0	1	1	1	1	1	1	1
1		1	2	3	4	5	6
2		1	4	10	21	39	68
3			2	12	49	172	573
4			1	10	78	584	5236
5				3	49	778	18,033
6				1	21	584	46,661
7					4	172	18,033
8					1	39	5236
9						5	573
10						1	68
11							6
12							1
13							
14							
total	1	3	10	40	228	2380	94,495

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0	1	1	1	1	1	1	1	1
1		1	2	3	4	5	6	7
2		1	4	10	21	39	68	112
3			2	12	49	172	573	1890
4			1	10	78	584	5236	72,205
5				3	49	778	18,033	971,573
6				1	21	584	46,661	149,636,721
7					4	172	18,033	19,498,369
8					1	39	5236	149,636,721
9						5	573	971,573
10						1	68	72,205
11							6	1890
12							1	112
13								7
14								1
total	1	3	10	40	228	2380	94,495	320,863,387

# Non-unimodality

The **rank** of a  $k$ -polymatroid  $(\rho, S)$  is  $\rho(S)$ .

Surprisingly, 2-polymatroids on 7 elements are **not** unimodal in rank. Since there are fewer of rank 7 than of rank 6, there is a “dip” in the middle.

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The following notion of  $k$ -duality for  $k$ -polymatroids explains the symmetry in the columns:

$$\rho^*(X) = k|X| + \rho(S - X) - \rho(S).$$

# Linear Inequalities

Recall the definition of a  $k$ -polymatroid.

## Definition

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Now think of the  $2^{|S|}$  values  $\rho(X)$  as variables. Notice that all the inequalities they must satisfy are linear.

# An Integer Programming Approach

Therefore, the feasible region of an integer program gives all  $k$ -polymatroids on a fixed ground set. Many of these will of course be isomorphic.

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Using the following condition equivalent to submodularity works better in practice. For  $A \subseteq S$  and  $f, g \in S - A$ ,

$$\rho(A) + \rho(A \cup f \cup g) \leq \rho(A \cup f) + \rho(A \cup g).$$



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$$\rho(A) + \rho(A \cup f \cup g) \leq \rho(A \cup f) + \rho(A \cup g).$$

Parallelism is easily achieved by splitting the computation into many small integer programs, each extending a single polymatroid.

## Labeled versus Unlabeled Polymatroids

The catalog, however, contains **unlabeled** 2-polymatroids; i.e., it has one representative of each isomorphism class of 2-polymatroids.

Let  $(\rho, \{1, \dots, n\})$  be a polymatroid. By the Orbit-Stabilizer Relation, there are  $\frac{n!}{|Aut(\rho)|}$  isomorphic copies of  $\rho$  on the same ground set.

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Fortunately, computing the automorphism group of a polymatroid can be reduced to computing the automorphism group of a (colored) graph. This can be found quickly with Brendan McKay's **nauty** program.

The table in the next slide lists the number of **labeled** 2-polymatroids on the ground set  $\{1, \dots, n\}$ .

rank \ $n$	0	1	2	3	4	5	6	7
0	1	1	1	1	1	1	1	1
1		1	3	7	15	31	63	127
2		1	6	29	135	642	3199	16879
3			3	41	477	5957	87477	1604768
4			1	29	784	27375	1554077	189213842
5				7	477	41695	7109189	3559635761
6				1	135	27375	21937982	733133160992
7					15	5957	7109189	86322358307
8					1	642	1554077	733133160992
9						31	87477	3559635761
10						1	3199	189213842
11							63	1604768
12							1	16879
13								127
14								1
total	1	3	14	115	2040	109707	39445994	1560089623047

The open-source optimization suite SCIP (<http://scip.zib.de/>) is able to efficiently count the number of feasible solutions to integer programs. It took about 13 weeks, using SCIP, to verify the numbers in the previous table.

**Important Technical Note:** It was necessary to turn off all presolving options in SCIP in order to obtain accurate counts.

These two different methods of counting 2-polymatroids agree exactly.

# Conjectures

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*Almost all  $k$ -polymatroids contain no element of rank less than  $k$ .*

This would generalize the theorem that almost all matroids are loopless by Mayhew, Newman, Welsh, and Whittle (2011).

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*Almost all  $k$ -polymatroids are asymmetric.*